REQUEST FOR QUALIFICATIONS
ARCHITECT/ENGINEERING SERVICES
LRGVDC BUILDING D ADDITION
No: 2018-04

“ARCHITECTURAL AND ENGINEERING SERVICES LRGVDC BUILDING D ADDITION”

ISSUE DATE: SEPTEMBER 24th, 2018

PROPOSAL DEADLINE: OCTOBER 12th, 2018 by 12PM
# TABLE OF CONTENTS

<table>
<thead>
<tr>
<th>Section</th>
<th>Topic</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>GENERAL INFORMATION</td>
<td>1</td>
</tr>
<tr>
<td>1.2</td>
<td>Authorized Agency Contact</td>
<td>1</td>
</tr>
<tr>
<td>1.3</td>
<td>Project Submission</td>
<td>1</td>
</tr>
<tr>
<td>II</td>
<td>BACKGROUND INFORMATION</td>
<td>2</td>
</tr>
<tr>
<td>2.1</td>
<td>LRGVDC Overview</td>
<td>2</td>
</tr>
<tr>
<td>2.2</td>
<td>Project Summary</td>
<td>3</td>
</tr>
<tr>
<td>2.3</td>
<td>Public Information</td>
<td>3</td>
</tr>
<tr>
<td>2.4</td>
<td>Clarifications &amp; Interpretations</td>
<td>3</td>
</tr>
<tr>
<td>2.5</td>
<td>Submission of Proposals</td>
<td>4</td>
</tr>
<tr>
<td>2.6</td>
<td>Evaluation of Proposals</td>
<td>4</td>
</tr>
<tr>
<td>2.7</td>
<td>LRGVDC’s Reservation of Rights</td>
<td>5</td>
</tr>
<tr>
<td>2.8</td>
<td>Acceptance of Evaluation Methodology</td>
<td>5</td>
</tr>
<tr>
<td>2.9</td>
<td>No Reimbursement for Costs</td>
<td>5</td>
</tr>
<tr>
<td>2.10</td>
<td>Pre-submittal Conference</td>
<td>5</td>
</tr>
<tr>
<td>2.11</td>
<td>Eligible Respondents</td>
<td>5</td>
</tr>
<tr>
<td>2.12</td>
<td>Historically Underutilized Businesses’ Submittal Requirements</td>
<td>5</td>
</tr>
<tr>
<td>2.13</td>
<td>Sales &amp; Use Taxes</td>
<td>5</td>
</tr>
<tr>
<td>2.14</td>
<td>Certification of Franchise Tax Status</td>
<td>6</td>
</tr>
<tr>
<td>2.15</td>
<td>Required Notices of Workers’ Compensation Insurance Coverage</td>
<td>6</td>
</tr>
<tr>
<td>2.16</td>
<td>Prevailing Wage Rate Determination</td>
<td>6</td>
</tr>
<tr>
<td>2.17</td>
<td>Delinquency in Paying Child Support</td>
<td>6</td>
</tr>
<tr>
<td>2.18</td>
<td>State Registration of Architecture Firms</td>
<td>6</td>
</tr>
<tr>
<td>2.19</td>
<td>State Registration of Engineering Firms</td>
<td>6</td>
</tr>
<tr>
<td>2.20</td>
<td>Disclosure of Interested Parties Statute</td>
<td>7</td>
</tr>
<tr>
<td>2.21</td>
<td>Historical Underutilized Businesses</td>
<td>7</td>
</tr>
<tr>
<td>III</td>
<td>PROPOSING CONDITION</td>
<td>8</td>
</tr>
<tr>
<td>3.1</td>
<td>Criteria One</td>
<td>8</td>
</tr>
<tr>
<td>3.2</td>
<td>Criteria Two</td>
<td>9</td>
</tr>
<tr>
<td>3.3</td>
<td>Criteria Three</td>
<td>9</td>
</tr>
<tr>
<td>3.4</td>
<td>Criteria Four</td>
<td>10</td>
</tr>
<tr>
<td>3.5</td>
<td>Criteria Five</td>
<td>11</td>
</tr>
<tr>
<td>3.6</td>
<td>Criteria Six</td>
<td>11</td>
</tr>
<tr>
<td>3.7</td>
<td>Criteria Seven</td>
<td>12</td>
</tr>
<tr>
<td>3.8</td>
<td>Criteria Eight</td>
<td>12</td>
</tr>
<tr>
<td>4.0</td>
<td>Format for Statement of Proposals</td>
<td>17</td>
</tr>
<tr>
<td>4.1</td>
<td>General Instructions</td>
<td>17</td>
</tr>
<tr>
<td>4.2</td>
<td>Table of Contents</td>
<td>18</td>
</tr>
<tr>
<td>4.3</td>
<td>Pagination</td>
<td>18</td>
</tr>
<tr>
<td>4.4</td>
<td>HUB Subcontracting Plan Submittal</td>
<td>18</td>
</tr>
<tr>
<td>4.5</td>
<td>Evaluation Criteria</td>
<td>18</td>
</tr>
<tr>
<td>IV</td>
<td>LRGVDC PROPOSAL PACKET</td>
<td>19</td>
</tr>
<tr>
<td>7.1</td>
<td>Proposal Submission</td>
<td>19</td>
</tr>
<tr>
<td>7.2</td>
<td>Status of Information</td>
<td>19</td>
</tr>
<tr>
<td>7.3</td>
<td>Qualifying Conditions</td>
<td>19</td>
</tr>
<tr>
<td>7.4</td>
<td>Non-Collusive Proposing Certificate</td>
<td>20</td>
</tr>
<tr>
<td>7.5</td>
<td>Addenda</td>
<td>20</td>
</tr>
<tr>
<td>7.6</td>
<td>Offerors Acceptance of Contract Provisions</td>
<td>21</td>
</tr>
<tr>
<td>7.7</td>
<td>Contract Award</td>
<td>21</td>
</tr>
<tr>
<td>7.8</td>
<td>Continuation Contracts</td>
<td>21</td>
</tr>
<tr>
<td>IV</td>
<td>HUB Sub-Contracting Plan</td>
<td>22</td>
</tr>
<tr>
<td>IV</td>
<td>HUB Sub-Contracting Plan</td>
<td>29</td>
</tr>
</tbody>
</table>
SECTION I: GENERAL INFORMATION

1.1 SOLICITATION SCHEDULE

Release date of Request for Proposal: September 24th, 2018

Pre-Bid Conference: October 2nd, 2018, 2:00 P. M. CT

Last day to submit written questions: October 8th, 2018, 5:00 P. M. CT

Proposal Deadline: October 12th, 2018, 12:00 P.M. CT

Public Bid Opening: October 12th, 2018, 3:00 P.M. CT

(Official time clock is LRGVDC phone system)

Interviews Date To be Determined

Selection Considered for Board Approval: October 31st, 2018

Project Begin Date: May 2019

If the LRGVDC determines, in its sole discretion, that it is necessary to change any of the dates and times, it will issue an Addendum to this RFQ.

1.2 AUTHORIZED AGENCY CONTACT

- Offerors may submit questions and inquiries via email only to lrgvdc@lrgvdc.org.

- No phone or in-person inquiries regarding the proposal shall be conducted other than at the pre-bid conference presentation and Q&A.

- Questions submitted in writing, via email will be answered within three business days.

- Inquiries, questions and responses shall be posted as addendums on LRGVDC website. Offerors should frequently check for any updates.

1.3 PROJECT SUBMISSION

All Bid Proposals shall be submitted via sealed envelope, displaying name of offeror and project title addressed to the following:

LRGVDC
301 West Railroad-Building “D”
Weslaco, Texas 78596

SEALED PROPOSAL
REQUEST FOR QUALIFICATIONS
ARCHITECT/ENGINEERING SERVICES
LRGVDC BUILDING D ADDITION
No: 2018-04
SECTION II: BACKGROUND INFORMATION

2.1 LRGVDC OVERVIEW

The LRGVDC is one of twenty-four (24) state planning regions and association of local governments formed under Texas Law to address issues and planning needs that cross the boundaries of individual local governments. The LRGVDC coordinates planning efforts, provides a regional approach to problem solving through cooperative action and provides direct services, when applicable. The designated geographical service area is the 3,643 square miles of Cameron, Hidalgo and Willacy Counties.

The LRGVDC is comprised of programs in the fields of economic development, public safety, transportation, environmental services and health and human services. Funding to support these programs originates from local, state, and federal sources. LRGVDC is governed by a twenty-seven (27) member Board of Directors of whom two-thirds are required to be elected officials of the designated boundaries.

2.2 PROJECT SUMMARY

The Lower Rio Grande Valley Development Council (LRGVDC) is soliciting requests for qualifications for selection of an Architect/Engineer firm to provide professional services for an addition to Building D at its main location at 301 W. Railroad, Weslaco, Texas, in accordance with the terms, conditions, and requirements set forth in this Request for Qualifications. This facility will be approximately 11,000 square feet, comprised of two floors. An alternative design option would include of a third floor at approximately 5,500 square feet. The addition will also require site preparation and landscape changes, as the addition may be constructed on property currently without any constructed facilities, but with existing parking areas.

The selected firm (Design Professional) shall provide services based upon the standard phases of work including: Schematic Design, Design Development, Construction Documentation, Bidding and Negotiation, and Construction Administration and/or other specific tasks required for the project. The basic services include all architectural, structural, mechanical, geotechnical, and electrical or other engineering services necessary for the design, bidding and construction contract administration of the project. The specialized services include but not limited to civil, landscaping, and acoustical. Electronic files in CAD and PDF are required for every project. These will be provided to LRGVDC at the end of design/construction document phase and at the end of project closeout including as-built drawings. The Design Professional shall become familiar with the site conditions, including preparation of applicable as-built plans on renovation and building expansion projects. The Design Professional shall attend and assist the LRGVDC at all required meetings and shall be responsible for recording and distributing minutes of all proceedings during the Design Phases.

The Design Professional shall also assist the LRGVDC with an update of probable cost, preparation of procurement packages, value architectural and bid alternates development, if required. The
Design Professional shall be responsible for submissions to and coordination with governing bodies having jurisdiction over the Project and revisions and modifications to the documents mandated by such governing bodies if required. Final Construction Documents shall be reasonably coordinated and free of any material errors or omissions, and the Design Professional shall document corrections in a timely manner.

Bidding Stage services shall include, but not be limited to, assisting with bidder list development; printing and distributing bid documents; attending pre-bid conference and general contractor interviews; preparing responses to questions from prospective bidders; providing clarification and interpretation of bid documents; reviewing bid alternates, exceptions and substitutions; and assisting with evaluation of contractor proposals and contract negotiations.

Construction Administration Stage services shall include, but not be limited to, attendance at regular construction meetings simultaneously with construction observation, preparation of meeting reports, review and approval of contractor submittals and pay applications, response to Requests for Information, evaluation of change proposals, preparation of a final punch list and one follow-up punch list, assistance in correction of punch list deficiencies, attendance at Substantial and Final Completion inspections and assistance with Project acceptance and contract close-out activities. The Design Professional shall also conduct a one (1) year warranty inspection with applicable subcontractors, prepare a deficiency report and assist with resolving warranty issues. The Design Professional, and its subcontractors as applicable, shall visit the site at a minimum of weekly intervals and also as needed to respond to construction issues and to determine in general if the work observed is being carried out in accordance with the contract documents; however, the Design Professional shall not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the work. The Design Professional shall report to LRGVDC any known deviations from the contract documents and any defects and deficiencies observed in the work. The Design Professional agrees that its representatives during the construction phase shall be qualified by training and experience to make decisions and interpretations of plans and specifications and shall be empowered by the Design Professional to do so; such decisions and interpretations shall be binding upon the Design Professional as if made by him, and all such decisions shall be confirmed in writing at the earliest reasonable date. The Design Professional shall have no liability for site safety or the means and methods employed by the contractor.

2.2.1 This Request for Qualifications (“RFQ”) is the first step in a two-step process for selecting an Architect/Engineer firm. The RFQ provides the information necessary to prepare and submit Proposals for consideration and initial ranking by the LRGVDC. Based on the initial ranking, the LRGVDC may select up to five (5) of the top ranked qualified respondents to attend Interviews in the final step of the process.

2.2.2 In the final step of the process, the “most” qualified respondents will be requested to attend an interview with the LRGVDC to confirm the Qualification submittal and answer additional questions. The LRGVDC will then rank the remaining respondents in order to determine a “most qualified” respondent.

2.3 PUBLIC INFORMATION: All information, documentation, and other materials submitted in response to this solicitation are considered non-confidential and/or non-proprietary and are subject to public disclosure under the Texas Public Information Act (Texas Government Code, Chapter 552.001, et seq.) after the solicitation is completed.

2.4 CLARIFICATIONS AND INTERPRETATIONS: Any clarifications or interpretations of
this RFQ that materially affect or change its requirements will be posted by the LRGVDC as an addendum on the LRVDC web site (http://www.lrgvd.org/procurement). It is the responsibility of all respondents to obtain this information in a timely manner. All such addenda issued by the LRGVDC before the proposals are due as part of the RFQ, and respondents shall acknowledge receipt of and incorporate each addendum in its proposal.

2.5 SUBMISSION OF PROPOSALS:

2.5.1 DEADLINE AND LOCATION: The LRGVDC will receive Proposals at the time and location described below.

**Friday, October 12, 2018, 12:00PM** local time

LRGVDC
301 W. Railroad Building “D”
Weslaco, Texas 78596

2.5.2 Submit **(3) three** identical copies of the proposal and one (1) PDF copy on USB flash drive. An original signature must be included on at least one copy. Each copy will also include the HUB Subcontracting plan copy.

2.5.3 Submit three (3) signed original **hard copy** and one (1) electronic copy (pdf) with original signature on USB flash drive of the HUB Subcontracting Plan (HSP) as separate attachments to the proposal.

2.5.4 Late received proposals will be not be returned to the respondent but will remain unopened.

2.5.5 The LRGVDC will not acknowledge or receive proposals that are delivered by telephone, facsimile (fax), or electronic mail (e-mail).

2.5.6 Properly submitted proposals will not be returned to respondents.

2.5.7 Proposal materials must be enclosed in a sealed envelope (box or container) addressed to the LRGVDC; the package must clearly identify the submittal deadline, the RFQ number, and the name and return address of the respondent.

2.6 EVALUATION OF PROPOSALS: The evaluation of the proposals shall be based on the requirements described in this RFQ. All properly submitted proposals will be reviewed, evaluated, and ranked by the LRGVDC. The top five or fewer ranked respondents may be selected by the LRGVDC to participate in step two of the selection process.

2.6.1 Proposals shall not include any information regarding respondent’s fees, pricing, or other compensation.

2.7 LRGVDC’S RESERVATION OF RIGHTS: The LRGVDC may evaluate the proposals based on the anticipated completion of all or any portion of the project. The LRGVDC reserves the right to divide the project into multiple parts, to reject any and all proposals and re-solicit for new proposals, or to reject any and all proposals and temporarily or permanently abandon the project. LRGVDC makes no representations, written or oral,
that it will enter into any form of agreement with any respondent to this RFQ for any project and no such representation is intended or should be construed by the issuance of this RFQ.

2.8  **ACCEPTANCE OF EVALUATION METHODOLOGY:** By submitting its proposal in response to this RFQ, respondent accepts the evaluation process and acknowledges and accepts that determination of the “most qualified” firm(s) will require subjective judgments by the LRGVDC.

2.9  **NO REIMBURSEMENT FOR COSTS:** Respondent acknowledges and accepts that any costs incurred from the respondent’s participation in this RFQ shall be at the sole risk and responsibility of the respondent.

2.10 **PRE-SUBMITTAL CONFERENCE:** A pre-submittal conference will be held at the time and location described below.

*Tuesday, October 2nd, 2018, 2:00 PM* local time *Lower Rio Grande Valley Development Council (LRGVDC)*
*Ken Jones Executive Board Room*
*Building B*
*301 W. Railroad, Weslaco, Texas 78596*

A tour of Building D where the proposed addition will be constructed and the adjacent site will be included as a part of the conference agenda. This may be the only opportunity for potential respondents to view the project site(s) before the submittal of proposals. Attendance at the pre-submittal conference is optional but encouraged.

2.11 **ELIGIBLE RESPONDENTS:** Only individual firms or lawfully formed business organizations may apply (This does not preclude a respondent from using consultants.) The LRGVDC will contract only with the individual firm or formal organization that submits a proposal.

2.12 **HISTORICALLY UNDERUTILIZED BUSINESSES’ SUBMITTAL REQUIREMENTS:** It is the policy of the LRGVDC to promote and encourage contracting and subcontracting opportunities for Historically Underutilized Businesses (HUB) in all contracts. The LRGVDC has determined that subcontracting opportunities are probable, thus a HUB Subcontracting Plan is a required element of the proposal. Failure to submit a required HUB Subcontracting Plan will result in rejection of the proposal.

2.12.1 Submit one (1) signed original hard copy and one (1) electronic copy (pdf) with original signature on USB flash drive of the HUB Subcontracting Plan (HSP) as separate attachment to the proposal.

2.13 **SALES AND USE TAXES:** Section 151.311, Tax Code, as amended effective October 1, 1993, permits the purchase free of state sales and use taxes of tangible personal property to be incorporated into realty in the performance of a contract for an improvement to realty for certain exempt entities that include the LRGVDC. The section further permits the purchase tax-free of tangible personal property for use in the performance of such a contract if the property is "necessary and essential for the performance of the contract" and "completely consumed at the job site." In addition, the section permits the purchase tax-free
of a tangible service for use in the performance of such a contract if the service is performed at the job site and if "the contract expressly requires the specific service to be provided or purchased by the person performing the contract" or "the service is integral to the performance of the contract."

2.14 **CERTIFICATION OF FRANCHISE TAX STATUS:** Respondents are advised that the successful respondent will be required to submit certification of franchise tax status as required by State Law (H.B. 175, Acts 70th Leg. R.S., 1987, Ch. 283, p. 3242). The contractor agrees that each subcontractor and supplier under contract will also provide a certification of franchise tax status.

2.15 **REQUIRED NOTICES OF WORKERS’ COMPENSATION INSURANCE COVERAGE:** The Texas Workers’ Compensation Commission has adopted a new rule, 28 TAC, sec. 110.110, relating to REPORTING REQUIREMENTS FOR BUILDING OR CONSTRUCTION PROJECTS FOR GOVERNMENTAL ENTITIES. The rule implements sec. 406.096, Texas Labor Code, which requires workers' compensation insurance coverage for all persons providing services on a building or construction project for a governmental entity.

2.16 **PREVAILING WAGE RATE DETERMINATION:** Davis-Bacon wage requirements will apply for this project.

2.17 **DELINQUENCY IN PAYING CHILD SUPPORT:** Under Section 231.006, Family Code, the vendor or applicant certifies that the individual or business entity named in this contract, bid, or application is not ineligible to receive the specified grant, loan, or payment and acknowledges that this contract may be terminated and payment may be withheld if this certification is inaccurate.

2.18 **STATE REGISTRATION OF ARCHITECTURE FIRMS:** Respondents are advised that the Texas Board of Architectural Examiners requires that any firm or business entity providing architectural services to the public, other than a sole proprietor doing business under his/her name, must annually register information regarding the firm or business entity with the Texas Board of Architectural Examiners. Texas Board of Architectural Examiners, Austin, Texas, has jurisdiction over individuals licensed under the Architects’ Registration Law, Chapter 1051, Texas Occupations Code.

2.19 **STATE REGISTRATION OF ENGINEERING FIRMS:** Respondents are advised that the Texas Board of Professional Engineers requires that any entity providing engineering services to the public must register with the Texas Board of Professional Engineers. An entity is defined as a sole proprietorship, firm, partnership, corporation or joint stock association.

2.20 **DISCLOSURE OF INTERESTED PARTIES STATUTE:** In its proposal, Respondents must agree to comply with Section 2252.908, Texas Government Code (“Disclosure of Interested Parties Statute”) and 1 Texas Administration Code Sections 46.1 through 46.3 (“Disclosure of Interested Parties Regulations”) as implemented by the Texas Ethics Commission (“TEC”), including, among other things, providing the TEC and LRGVDC with the information required by the Disclosure of Interested Parties Statute and the Disclosure of Interested Parties Regulations on the form promulgated by the TEC and set forth in APPENDIX EIGHT. The form will be required to be submitted to LRGVDC.
prior to the countersigning of the final agreement and not submitted with this RFQ.

2.30 Historically Underutilized Businesses

All agencies of the State of Texas are required to make a good faith effort to assist historically underutilized businesses (each a “HUB”) in receiving contract awards. The goal of the HUB program is to promote full and equal business opportunity for all businesses in contracting with state agencies. Pursuant to the HUB program, if under the terms of any agreement or contractual arrangement resulting from this RFQ, Respondent subcontracts any of the Services, then Respondent must make a good faith effort to utilize HUBs certified by the Texas Procurement and Support Services Division of the Texas Comptroller of Public Accounts or any successor agency (collectively, “TPSS”). Additionally, compliance with good faith effort guidelines is a condition precedent to awarding any agreement or contractual arrangement resulting from this RFQ. Respondent acknowledges that, if selected by the LRGVDC, its obligation to make a good faith effort to utilize HUBs when subcontracting any of the Services will continue throughout the term of all agreements and contractual arrangements resulting from this RFQ. Furthermore, any subcontracting of the Services by Respondent is subject to review by LRGVDC to ensure compliance with the HUB program.

2.30.1 The LRGVDC has reviewed this RFQ in accordance with Title 34, Texas Administrative Code, Section 20.13 (a), and has determined that subcontracting opportunities are probable under this RFQ.

2.30.2 Accordingly, a HUB Subcontracting Plan (“HSP”) is required as part of Respondent’s proposal.

Proposals that do not include the HSP will be considered non-responsive to this RFQ.

Respondent will not be permitted to change its HSP unless: (1) Respondent provides the LRGVDC with revised versions of such documents that set forth all changes requested by Respondent, (2) the LRGVDC approves such revised documents in writing, and (3) all agreements or contractual arrangements resulting from this RFQ are amended in writing by LRGVDC and Respondent to conform to the modified HSP.

2.30.2.1 If Respondent proposes to use subcontractors to perform such subcontracting opportunities, the HSP will include:

2.30.2.1.1 A letter of transmittal stating that Respondent has read and understands the Policy on Utilization of Historically Underutilized Businesses; and

2.30.2.1.2 The HSP related to Policy on Utilization of Historically Underutilized Businesses for Vendor/Commodities.

2.30.2.2 If Respondent proposes to perform such subcontracting opportunities with its own employees and resources, the HSP will include:
2.30.2.2.1 A letter of transmittal stating that Respondent has read and understands the Policy
on Historically Underutilized Businesses; and

2.30.2.2.2 The Self Performance HUB Subcontracting Plan (HSP)

2.30.3 Respondent must submit the three (3) originals of the HSP to LRGVDC at the same
time it submits its proposal to LRGVDC (RFQ.) The three (3) originals of the HSP must be
submitted under separate cover and in a separate envelope (the “HSP Envelope”). Respondent
must ensure that the top outside surface of its HSP Envelope clearly shows and makes visible:

2.30.3.1 The RFQ No. (Ref. Section 1.3 of this RFQ) and the Submittal Deadline (ref. Section
2.5.1 of this RFQ), both located in the lower left hand corner of the top surface of the envelope,

2.30.3.2 The name and the return address of Respondent, and

2.30.3.3 The phrase “HUB Subcontracting Plan”.

Any proposal submitted in response to this RFQ that is not accompanied by a separate HSP
Envelope meeting the above requirements will be rejected by the LRGVDC as that proposal will be
considered non-responsive due to material failure to comply with advertised specifications.
Furthermore, the LRGVDC will open a respondent’s HSP Envelope prior to opening the proposal
submitted by respondent, in order to ensure that respondent has submitted the number of completed
and signed originals of respondent’s HUB Subcontracting Plan (“HSP”) that are required by this
RFQ. A respondent’s failure to submit the number of completed and signed originals of the HSP
that are required by this RFQ will result in LRGVDC’s rejection of the proposal submitted by that
respondent as non-responsive due to material failure to comply with advertised specifications.

3.0 REQUIREMENTS FOR STATEMENT OF PROPOSALS

Respondents shall carefully read the information contained in the following criteria and submit a
complete statement of qualifications to all questions in Section 3 formatted as directed in Section
4. Incomplete Proposals will be considered non-responsive and subject to rejection.

3.1 CRITERIA ONE: RESPONDENT’S STATEMENT OF PROPOSALS AND
AVAILABILITY TO UNDERTAKE THE PROJECT (Maximum of two (2) printed
pages per question)

3.1.1 Provide a statement of interest for the project including a narrative describing the
respondent’s/firm’s unique qualifications as they pertain to this particular project.

3.1.2 Provide a statement on the availability and commitment of the firm and its
principal(s) and assigned respondents to undertake the project.

3.1.3 Provide a brief history of the firm and each consultant proposed for the project.

3.1.4 Provide a graphic representation (org chart) of the project team, identifying the
firm and each consultant proposed for the project.
3.2 CRITERIA TWO: FIRM’S ABILITY TO PROVIDE SERVICES

3.2.1 Provide the following information for the firm:

- Legal name of the company as registered with the Secretary State of Texas
- Address of the office that will be providing services
- Number of years in business
- Type of Operation (Individual, Partnership, Corporation, Joint Venture, etc.)
- Number of Employees by skill group
- Annual revenue totals for the past ten (10) years

3.2.2 Provide the three (3) most recent audited financial statements documenting your firm’s financial stability. If audited financial statements aren’t available, financial statements or other financial information provided by the respondent must clearly demonstrate the financial capacity necessary for this project and proof of financial solvency and stability for those periods represented in the financial statements.

3.2.3 Is your company currently for sale or involved in any transaction to expand or to become acquired by another business entity? If yes, please explain the impact both in organizational and directional terms.

3.2.4 Provide any details of all past or pending litigation or claims filed against your company that would affect your company’s performance under a Contract with the LRGVDC.

3.2.5 Is your company currently in default on any loan agreement or financing agreement with any bank, financial institution, or other entity? If yes, specify date(s), details, circumstances, and prospects for resolution.

3.2.6 Does any relationship exist by relative, business associate, capital funding agreement, or any other such kinship between your firm and any LRGVDC employee, or officer? If so, please explain.

3.2.7 Provide a claims history under respondent malpractice insurance for the past five (5) years for the firm and any team members proposed to provide respondent architectural or engineering services.

3.3 CRITERIA THREE: PROJECT TEAM’S ABILITY TO PROVIDE DESIGN AND CONSTRUCTION ADMINISTRATION SERVICES

3.3.1 Describe, in graphic and written form, the proposed project assignments and lines of authority and communication for principals and key respondent members of each consultant that will be involved in the project. Indicate the estimated percent of time these individuals will be involved in the project for design and construction.

3.3.2 Provide resumes giving the experience and expertise of the respondent members for each consultant that will be involved in the project, including their experience with similar projects, the number of years with the firm, and their city of residence.

3.3.3 Clearly identify (in a matrix) the members of the proposed team who worked on the listed projects in Criteria 3.4 and 3.5, and describe their roles in those projects.
3.3.4 Describe the basis for the selection of the proposed sub-consultants included in the design team and the role each will play for this project.

3.3.5 Describe the firm’s process in working with consultants and integrating them into the design process.

3.4 CRITERIA FOUR: RESPONDENT'S PERFORMANCE ON PAST REPRESENTATIVE PROJECTS

3.4.1 List a maximum of five (5) projects for which you have provided services that are most related to this project. List the projects in order of priority, with the most relevant project listed first. Provide the following information for each project listed:

- Project name, location, contract delivery method, and description
- Color images (photographic or machine reproductions)
- Final Construction Cost, including Change Orders
- Final project size in gross square feet
- Type of construction (new, renovation, or expansion)
- Actual start and finish dates for design
- Actual Notice To Proceed and Substantial Completion dates for construction
- Description of respondent services Prime Firm provided for the project
- Name of Project Manager (individual responsible to the LRGVDC for the overall success of the project)
- Name of Project Architect (individual responsible for coordinating the day to day work)
- Name of Project Designer (individual responsible for design concepts)
- Consultants

References (for each project listed above, identify the following):

- The name and representative who served as the day-to-day liaison during the design and construction phases of the project, including telephone number
- Contractor’s name and representative who served as the day-to-day liaison during the Preconstruction and/or construction phase of the project, including telephone number
- Length of business relationship with the firm.

References shall be considered relevant based on specific project participation and experience with the respondent. The LRGVDC may contact references during any part of this process. The LRGVDC reserves the right to contact any other references at any time during the RFQ process. These may be provided on the References sheet provided on pg. 26. The length of business relationship shall be listed in “additional info” line.

3.4.2 Identify a maximum of three (3) completed projects, of any type and if applicable, for which the Prime Firm received an award for design excellence from a recognized organization and provide descriptive information for each.

3.5 CRITERIA FIVE: RESPONDENT'S PAST PERFORMANCE ON PROJECTS OF SIMILAR SIZE AND SCOPE
3.5.1 Identify and describe the firm’s past experience on similar projects within the last five (5) years. Projects may repeat with Section 3.4 above.

Respondent will provide the following information for each project listed:

- Project name, location, contract delivery method, and description
- Color images (photographic or machine reproductions)
- Final Construction Cost, including Change Orders
- Final project size in gross square feet
- Type of construction (new, renovation, or expansion)
- Actual start and finish dates for design
- Actual Notice to Proceed and Substantial Completion dates for construction
- Description of respondent services Prime Firm provided for the project
- Name of Project Manager (individual responsible for the overall success of the project)
- Name of Project Architect (individual responsible for coordinating the day to day work)
- Name of Project Designer (individual responsible for design concepts)
- Consultants

3.6 CRITERIA SIX: RESPONDENT’S KNOWLEDGE OF BEST PRACTICES

3.6.1 Describe the firm’s design philosophy, design methodology, and its process for integrating institutional standards into design.

3.6.2 Describe the firm’s quality assurance program explaining the method used and how the firm maintains quality control during the development of Construction Documents and quality assurance during all phases of a project. Provide specific examples of how these techniques or procedures were used for any combination of three (3) projects listed in response to Criteria 3.4 and 3.5.

3.6.3 Describe your project team’s demonstrated technical competence and management proposals with institutional projects.

3.6.4 Describe your cost estimating methods for the design and construction phases. How do you develop cost estimates and how often are they updated? For any combination of three (3) projects listed in response to Criteria 3.4 and 3.5, provide examples of how these techniques were used and what degree of accuracy was achieved.

3.6.5 Describe the way in which your firm develops and maintains work schedules to coordinate with a project schedule. For any combination of three (3) projects listed in response to Criteria 3.4 and 3.5, provide examples of how these techniques were used.

3.6.6 Describe the project team’s experience in sustainable design and improved indoor air quality.

3.6.7 Describe the project team’s approach to assuring timely completion of this project, including methods you will use for schedule recovery if necessary.
3.6.8 Describe how you track LRGVDC input and review comments on your design document submittals to confirm that they have been addressed. Provide examples of reports/logs used for tracking response to and closure on LRGVDC comments.

3.7 CRITERIA SEVEN: RESPONDENT’S ABILITY TO IDENTIFY AND RESOLVE PROBLEMS ON PAST PROJECTS

3.7.1 Describe your understanding of the administrative challenges and opportunities associated with providing Design and Construction Administration services for the LRGVDC on this project, and your strategy for resolving these issues.

3.7.2 What do you perceive are the critical issues for this project?

3.7.3 Understanding schedule limitations, provide of how you plan to develop and communicate design, scope, and budget options in a form that will quickly facilitate the LRGVDC’s decision making.

3.7.4 Describe the project team’s experience with expansion projects in occupied facilities.

3.7.5 For any combination of three (3) projects listed in response to Criteria 3.4 and 3.5, describe any conflicts with the client organization, Consultants, Contractor, or subcontractors, and describe the methods your firm used to resolve those conflicts.

3.8 CRITERIA EIGHT: EXECUTION OF OFFER

NOTE TO RESPONDENTS: SUBMIT ENTIRE SECTION WITH RESPONSE.

THIS EXECUTION OF OFFER MUST BE COMPLETED, SIGNED, AND RETURNED WITH THE RESPONDENT'S PROPOSALS. FAILURE TO COMPLETE, SIGN AND RETURN THIS EXECUTION OF OFFER WITH THE PROPOSALS MAY RESULT IN REJECTION OF THE PROPOSALS.

SIGNING A FALSE STATEMENT MAY VOID THE SUBMITTED PROPOSALS OR ANY AGREEMENTS OR OTHER CONTRACTUAL ARRANGEMENTS, WHICH MAY RESULT FROM THE SUBMISSION OF RESPONDENT’S PROPOSALS, AND THE RESPONDENT MAY BE REMOVED FROM ALL PROPOSER LISTS. A FALSE CERTIFICATION SHALL BE DEEMED A MATERIAL BREACH OF CONTRACT AND, AT LRGVDC'S OPTION, MAY RESULT IN TERMINATION OF ANY RESULTING CONTRACT OR PURCHASE ORDER.

3.8.1 By signature hereon, respondent acknowledges and agrees that (1) this RFQ is a solicitation for proposals and is not a contract or an offer to contract; (2) the submission of proposals by respondent in response to this RFQ will not create a contract between the LRGVDC and respondent; (3) the LRGVDC has made no representation or warranty, written or oral, that one or more contracts with the LRGVDC will be awarded under this RFQ; and (4) Respondent shall bear, as its sole risk and responsibility, any cost which arises from respondent's preparation of
a response to this RFQ.

3.8.2 By signature hereon, respondent offers and agrees to furnish to the LRGVDC the products and/or services more particularly described in its proposal, and to comply with all terms, conditions and requirements set forth in the RFQ documents and contained herein.

3.8.3 By signature hereon, respondent affirms that he has not given, nor intends to give at any time hereafter, any economic opportunity, future employment, gift, loan, gratuity, special discount, trip, favor or service to a public servant in connection with the submitted proposals.

3.8.4 By signature hereon, a corporate respondent certifies that it is not currently delinquent in the payment of any Franchise Taxes due under Chapter 171, Texas Tax Code, or that the corporate Respondent is exempt from the payment of such taxes, or that the corporate Respondent is an out-of-state corporation that is not subject to the Texas Franchise Tax, whichever is applicable.

3.8.5 By signature hereon, the respondent hereby certifies that neither the respondent nor the firm, corporation, partnership or LRGVDC represented by the respondent, or anyone acting for such firm, corporation, or institution has violated the antitrust laws of this state, codified in Section 15.01, et. seq., Texas Business and Commerce Code, or the Federal antitrust laws, nor communicated directly or indirectly the proposals made to any competitor or any other person engaged in such line of business.

3.8.6 By signature hereon, respondent represents and warrants that:

3.8.6.1 Respondent is a reputable company regularly engaged in providing products and/or services necessary to meet the terms, conditions and requirements of the RFQ;

3.8.6.2 Respondent has the necessary experience, knowledge, abilities, skills, and resources to satisfactorily perform the terms, conditions and requirements of the RFQ;

3.8.6.3 Respondent is aware of, is fully informed about, and is in full compliance with all applicable federal, state and local laws, rules, regulations and ordinances;

3.8.6.4 Respondent understands (i) the requirements and specifications set forth in this RFQ and (ii) the terms and conditions set forth in the Contract under which respondent will be required to operate;

3.8.6.5 Respondent, if selected by the LRGVDC, will maintain insurance as required by the Contract;

3.8.6.6 All statements, information and representations prepared and submitted in response to this RFQ are current, complete, true and accurate. Respondent acknowledges that the LRGVDC will rely on such statements, information and representations in selecting the successful respondent. If selected by the LRGVDC as the successful respondent, respondent will notify the LRGVDC
immediately of any material change in any matters with regard to which respondent has made a statement or representation or provided information.

3.8.7 By signature hereon, respondent certifies that the individual signing this document and the documents made part of the RFQ is authorized to sign such documents on behalf of the company and to bind the company under any agreements or other contractual arrangements, which may result from the submission of respondent’s proposals.

3.8.8 By signature hereon, respondent certifies as follows:

3.8.8.1 “Under Section 231.006, Texas Family Code, the vendor or applicant certifies that the individual or business entity named in this contract, bid, or application is not ineligible to receive the specified grant, loan, or payment and acknowledges that this contract may be terminated and payment may be withheld if this certification is inaccurate.”

3.8.8.2 “Under Section 2155.004, Texas Government Code, the vendor or applicant certifies that the individual or business entity named in this bid or contract is not ineligible to receive the specified contract and acknowledges that this contract may be terminated and payment withheld if this certification is inaccurate.”

3.8.8.3 “Under Section 2254.004, Texas Government Code, the vendor or applicant certifies that each individual or business entity which is an engineer or architect proposed by respondent as a member of its team was selected based on demonstrated competence and qualifications only.”

3.8.9 By signature hereon, respondent certifies that no relationship, whether by relative, business associate, capital funding agreement or by any other such kinship exist between respondent and an employee of any LGVDC component, or respondent has not been an employee of any LRGVDC component within the immediate twelve (12) months prior to your RFQ response. All such disclosures will be subject to administrative review and approval prior to the LRGVDC entering into any contract with respondent.

3.8.10 By signature hereon, respondent affirms that no compensation has been received for participation in the preparation of the specifications for this RFQ. (ref. Section 2155.004 Texas Government Code).

3.8.11 Respondent represents and warrants that all articles and services quoted in response to this RFQ meet or exceed the safety standards established and promulgated under the Federal Occupational Safety and Health Law (Public Law 91-596) and its regulations in effect or proposed as of the date of this solicitation.

3.8.12 By signature hereon, respondent signifies his compliance with all federal laws and regulations pertaining to Equal Employment Opportunities and Affirmative Action.

3.8.13 By signature hereon, respondent agrees to defend, indemnify, and hold harmless the LRGVDC, all of its officers, agents and employees from and against all claims,
actions, suits, demands, proceedings, costs, damages, and liabilities, arising out of, connected with, or resulting from any acts or omissions of respondent or any agent, employee, subcontractor, or supplier of respondent in the execution or performance of any agreements or other contractual arrangements which may result from the submission of respondent’s proposal.

3.8.14 By signature hereon, respondent agrees that any payments that may become due under any agreements or other contractual arrangements, which may result from the submission of respondent’s proposal, will be applied towards any debt including, but not limited to, delinquent taxes and child support that is owed to the State of Texas.

3.8.15 By signature hereon, respondent certifies that the only member(s) of the Board of Directors of the LRGVDC or it’s component programs that has a financial interest, directly or indirectly, in the transaction that is the subject of the contract is identified below.

Attach additional pages as necessary.

__________________________________________
(signature, title)

__________________________________________
(Printed name, title)

__________________________________________
(Company Name)
3.8.16 Execution of Offer: RFQ No. 2018-04

The respondent must complete, sign and return this Execution of Offer as part of their submittal response. The respondent’s company official(s) who are authorized to commit to such a submittal must sign submittals. Failure to sign and return this form may subject the submittal to disqualification.

Respondent’s Name: ________________________________

Respondent’s State of Texas Tax Account No: ________________________________
(This 11 digit number is mandatory)

If a Corporation:

Respondent’s State of Incorporation: ________________________________

Respondent’s Charter No: ________________________________

Identify by name, each person who owns at least 25% of the Respondent’s business entity:

(Name)

(Name)

(Name)

(Name)

Submitted and Certified By:

(Respondent’s Name) ________________________________ (Title) ________________________________

(Street Address) ________________________________ (Telephone Number) ________________________________

(City, State, Zip Code) ________________________________ (Email Address) ________________________________

(Authorized Signature) ________________________________ (Date) ________________________________
SECTION 4 – FORMAT FOR STATEMENT OF PROPOSALS

4.1 GENERAL INSTRUCTIONS

4.1.1 Proposals shall be prepared SIMPLY AND ECONOMICALLY, providing a straightforward, CONCISE description of the respondent's ability to meet the requirements of this RFQ. Emphasis shall be on the QUALITY, completeness, clarity of content, responsiveness to the requirements, and an understanding of LRGVDC’s needs.

4.1.2 Proposals shall be a MAXIMUM OF FIFTY (50) PRINTED PAGES. The cover, table of contents, divider sheets, financial information, HUB Subcontracting Plan, and Execution of Offer do not count as printed pages.

4.1.3 Respondents shall carefully read the information contained in this RFQ and submit a complete response to all requirements and questions as directed. Incomplete proposals will be considered non-responsive and subject to rejection.

4.1.4 Proposal and any other information submitted by respondents in response to this RFQ shall become the property of the LRGVDC.

4.1.5 The LRGVDC will not compensate respondents for any expenses incurred in proposal preparation or for any presentations that may be made, unless agreed to in writing in advance or required by law. Respondents submit proposals at their own risk and expense.

4.1.6 Proposals that are qualified with conditional clauses, alterations, items not called for in the RFQ documents, or irregularities of any kind are subject to rejection by the LRGVDC, at its option.

4.1.7 The LRGVDC makes no representations of any kind that an award will be made as a result of this RFQ, or subsequent RFP. The LRGVDC reserves the right to accept or reject any or all proposals, waive any formalities or minor technical inconsistencies, or delete any item/requirements from this RFQ when deemed to be in LRGVDC's best interest.

4.1.8 Proposals shall consist of answers to questions identified in Section 3 of the RFQ. It is not necessary to repeat the question in the proposals; however, it is essential to reference the question number with the corresponding answer.

4.1.9 Failure to comply with all requirements contained in this Request for Proposals may result in the rejection of the proposal.

4.2 PAGE SIZE, BINDING, DIVIDERS, AND TABS:

4.2.1 Proposals shall be printed on letter-size (8-1/2” x 11”) paper and assembled with spiral-type bindings or staples. DO NOT USE METAL-RING HARD COVER BINDERS.

4.2.2 Additional attachments shall not be included with the proposal. Only the responses provided by the respondent to the questions identified in Section 3 of this RFQ will be used by the LRGVDC for evaluation.

4.2.3 Separate and identify each criteria response to Section 3 of this RFQ by use of a divider sheet with an integral tab for ready reference.
4.3 TABLE OF CONTENTS:

4.3.1 Submittals shall include a “Table of Contents” and give page numbers for each part of the Proposals.

4.4 PAGINATION:

4.4.1 Number all pages of the submittal sequentially using Arabic numerals (1, 2, 3, etc.); the respondent is not required to number the pages of the HUB Subcontracting Plan.

4.5 HUB SUBCONTRACTING PLAN SUBMITTAL:

Submit one (1) signed original hard copy and one (1) electronic copy (pdf) with original signature on USB flash drive of the HUB Subcontracting Plan (HSP) with each copy.

5.0 EVALUATION CRITERIA

Proposals will be selected based on the ability of each bidder to carry out all the requirements contained in this RFP. Incomplete or late proposals or those found to be default, in poor standing or reflective of questionable business/ethical practices will not be considered.

It is the intent of LRGVDC to enter into contractual agreements with the most qualified, responsible respondent whose proposal is responsive to the invitation, meeting all qualifications necessary to complete the prescribed scope of work, with the greatest overall value to LRGVDC in terms of price and other enumerated factors such as firm experience, projects completed, etc.

LRGVDC may award a contract of higher qualitative competence of over the lowest priced response seeking the best combination of expertise, experience and value.

Additionally, the following criteria shall be used to evaluate submissions:

- Successful and proven experience in the provision of architectural and engineering services.
- Profitable operations greater than 3 years in the Rio Grande Valley.
- Introduced knowledge, experience, resources, and value-added services beyond basic scope of work requirements.
- Proposed project plan and cost proposal exemplifying total overall quality.
SECTION III: PROPOSING CONDITIONS

7.1 PROPOSAL SUBMISSION

Sealed proposals are invited by the LRGVDC for all labor, services, materials, supplies, equipment, tools, transportation, and other facilities necessary or proper to meet the requirements of the specifications included in scope of service.

The LRGVDC may waive and/or disqualify any proposal not prepared and submitted in accordance with the provisions herein.

Proposals will be received by the designated LRGVDC staff member only at 301 West Railroad, “Building D”, Weslaco, Texas 78596 until the time and date stated in this RFQ.

Any proposals received after the time and date deadline will not be considered.

Any proposals may be withdrawn prior to the scheduled time for the opening thereof.

Proposals must be submitted on the forms provided thereof by the LRGVDC as well as other included in this RFQ. All blank spaces in the form shall be filled in, amount shall be stated both in words and numerals, the signature shall be longhand, and the completed form shall be without erasure, interlineations, alterations, or any conditions inserted by the offeror.

7.2 STATUS OF INFORMATION

The LRGVDC shall not be bound by oral or written information released prior to the issuance of this RFQ. All inquiries regarding this application must be submitted in writing via email to lrgvdc@lrgvdc.org.

Respondents are advised that from the date this bid is issued until the award of the contract, NO contact with personnel and/or Governing Body related to this solicitation is permitted, except as authorized such as email inquiries or scheduled pre-bid conference.

7.3 QUALIFYING CONDITIONS

The proposal shall state whether the offeror is a corporation or co-partnership, and, if a corporation, under the laws of what state and have the corporate seal affixed. If a co-partnership, the full name or names of all interested parties.

The successful offeror shall submit a certificate of incorporation in the state of Texas or proof of authorization to do business in the State of Texas.

The Contractor shall procure and maintain insurance sufficient for the project for the duration of the contract.

The Contractor shall indemnify and hold the LRGVDC harmless.

The Contractor shall pay any and all Federal, State, County, or City taxes with may be applicable herein.

The quantities listed on the proposal form are for comparing proposals only. The actual quantities are used during the contract period may vary higher or lower. Payment by the LRGVDC to the successful offeror shall be made based on the actual quantities of authorized work performed under each item and
only at that item’s unit proposal price.

The LRGVDC shall not be liable for any costs incurred by offerors in the preparation of proposals or for any work performed in connection therewith.

The successful offeror’s attention is called to the fact that materials not actually incorporated into the work will not be exempt for the payment of sales tax. This will apply to such things as:

- Machinery and equipment, including rentals or repair parts.
- Supplies, tools, miscellaneous equipment, and/or materials.
- Any other items purchased or rented by the contractor for his use in performing the contract and not incorporated into the realty.

The LRGVDC reserves the right to postpone or cancel the bid and to reject any or all proposals.

LRGVDC HUB and Equal Opportunity Employment requirements will apply to this contract.

7.4 NON-COLLUSIVE PROPOSING CERTIFICATE

All respondents must complete the Non-Collusion certification included submitted as part of the proposal. Any offers submitted without the fully executed Non-Collusion Certifications will be rejected.

If in any case the respondent cannot make the foregoing certification, the respondent shall so state and shall furnish with the proposal a signed statement which sets forth in detail the reason therefore.

The respondent whose proposal is accepted shall, after written notice by the designated agency staff member enter into a contract and furnish an insurance certificate complying with the provisions of insurance specification. The notice shall be served personally or by the mailing thereof in a postpaid wrapper addressed to the offeror at the address given in the proposal. This contract must be approved by the LRGVDC.

The contract shall be governed and construed under the laws of the State of Texas. Venue for any and all disputes under the contract shall be in Hidalgo County, Texas. Any and all settlement discussions, examinations before trial, depositions and or any other proceedings related to any disputes under the contract shall also be held in Hidalgo County, Texas.

7.5 ADDENDA

Any addenda issued during the time of proposing must be included in the proposal, and in enacting the contract, they shall become a part thereof.

The LRGVDC will issue responses to inquiries and any other corrections or amendments it deems necessary, in written addenda issued prior to the proposal deadline.

Respondents should not rely on any representations, statements or explanations other than those made in this bid or in a formal addendum.

The respondent should verify with the designated contact person, prior to submitting a proposal, that all addenda have been received. Respondents are required to acknowledge the number of addenda received as part of their proposals.
7.6 OFFERORS ACCEPTANCE OF CONTRACT PROVISIONS

By submitting a proposal indicated to the LRGVDC the offeror accepts the standard contract provisions that will become part of the final contract.

7.7 CONTRACT AWARD

Any proposed contract award shall be subject to all required approvals. Contract award shall be subject to the following conditions, where applicable:
   a. Americans with Disabilities Act
   b. Other acts/conditions listed in this RFQ.

7.8 CONTINUATION CONTRACTS

The following provisions apply to continuing contracts awarded as the result of this solicitation:

The contract is subject to modification or cancellation if adequate funds are not appropriated to the LRGVDC to support the continuation of performance in any fiscal year succeeding the first but not to exceed three (3) years;

The contract is subject to modification or cancellation if the contractor’s performance is not satisfactory; and the Contract Coordinator will notify the contractor as soon as it is practicable that the funds are not available for the continuation of the contract term for each succeeding year.
THE FOLLOWING FORMS MUST BE COMPLETED AND SUBMITTED WITH THE SEALED PROPOSAL:

A. Proposal Acknowledgment
B. Addenda
C. Certificate of Incorporation
D. References Sheet
E. Non-Collusion Certificate
F. Declaration of Conflict of Interest
G. HUB Subcontracting Plan – these forms can also be downloaded at [https://comptroller.texas.gov/purchasing/vendor/hub/forms.php](https://comptroller.texas.gov/purchasing/vendor/hub/forms.php)

Select “HUB Subcontracting Plan Form”
A. PROPOSAL ACKNOWLEDGEMENT

“REQUEST FOR QUALIFICATIONS ARCHITECT/ENGINEERING SERVICES
LRGVDC BUILDING D ADDITION”

ISSUE DATE: SEPTEMBER 24, 2018

PROPOSAL DEADLINE: OCTOBER 12, 2018 @ 12PM

TO THE ADMINISTRATION DEPARTMENT OF THE LRGVDC:

The undersigned hereby proposes and agrees to furnish all services, plans, labor, services, materials, supplies, equipment, tools, transportation and other facilities and things necessary or proper for or incidental to the above-named project, as required by and in strict accordance with the Contract Documents.

By submission of this proposal, each offeror and each person signing on behalf of any offeror certifies, and in the case of a joint proposal, each party thereto certifies as to its own organization, under penalty of perjury, that to the best of knowledge and belief:

EXECUTED this _____ day of _________________, 20 ___.

Signature: __________________________________________

Printed Name: __________________________________________

Title: __________________________________________

Company: __________________________________________

SWORN TO AND SUBSCRIBED before me by ________________________.

on the _______ day of _________________, 20 ___.

________________________________________

Notary Public, State of Texas

________________________________________

Commission Expiration

1. This Acknowledgement must be filed with the Lower Rio Grande Valley Development Council and be maintained as part of the permanent procurement record.
B. ADDENDA

In the space provided below, acknowledge receipt of addenda: (if applicable)

Date Received:

#1

#2

#3

#4

#5
C. CERTIFICATION OF INCORPORATION

CONTRACTOR MUST SUBMIT CERTIFICATION OF INCORPORATION IN THE STATE OF TEXAS OR MUST SHOW PROOF OF AUTHORIZATION TO DO BUSINESS IN THE STATE OF TEXAS.

To facilitate correct drawing and execution of contracts, offerors shall supply full information concerning legal status as follows:

NAME: ____________________________________________

Type:  CORPORATION   CO-PARTNERSHIP   INDIVIDUAL   TRADE NAME   N/A

If Foreign Corporation, state if authorized to do business in the State of Texas: YES  NO

ADDRESS OF PRINCIPAL OFFICE:

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<th>Street</th>
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<th>State</th>
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PRINCIPAL OFFICERS:

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PRINCIPAL STOCKHOLDERS:

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If Co-Partnership, Names of Partners and Addresses are:

____________________________________________________________________

If doing business under Trade Name, Assumed Name or Firm Style:

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<th>Certificate Filed:</th>
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### D. REFERENCES

List below at least five (5) references where your firm is providing or has provided services comparable to those contained in your proposal:

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E. NON-COLLUSION AFFIDAVIT

STATE OF TEXAS §

§

COUNTY OF

HIDALGO §

By the signature below, the signatory for the bidder certifies that neither he nor the firm, corporation, partnership or institution represented by the signatory or anyone acting for the firm bidding this project has violated the antitrust laws of this State, codified at Title 2 - Section 15.01, Texas Business and Commerce Code, or the Federal antitrust laws, nor communicated directly or indirectly the bid made to any competitor or any other person engaged in the same line of business, nor has the signatory or anyone acting for the firm, corporation or institution submitting a bid committed any other act of collusion related to the development and submission of this bid proposal.

EXECUTED this _____ day of________________, 20____.

Signature: __________________________________________

Printed Name: _______________________________________

Title: ______________________________________________

Company: ___________________________________________

SWORN TO AND SUBSCRIBED before me by ____________________________,

on the_______ day of _________________, 20____.

______________________________

Notary Public, State of Texas

______________________________

Commission Expiration

1. This Affidavit must be filed with the Lower Rio Grande Valley Development Council and be maintained as part of the permanent procurement record.
F. DECLARATION OF CONFLICT OF INTEREST (AFFIDAVIT OF INTEREST)

STATE OF TEXAS § COUNTY OF HIDALGO §

I, ________________________________, as an [employee, officer or agent, or any member of his/her immediate family, a partner or a person or an organization which employs or may employ in the near future any of these individuals] of the LRGVDC, make this affidavit and state that I have a financial or other substantial interest in the LRGVDC which may be considered for the award of [specify contract or procurement].

My interest is as follows:

Upon the filing of this affidavit with the Lower Rio Grande Valley Development Council, I affirm that I will abstain from any further participation in this [contract or procurement] whatsoever.

EXECUTED this ______ day of __________________, 20__.

Signature: __________________________________________________________

Printed Name: ______________________________________________________

Title: _____________________________________________________________

Company: __________________________________________________________

SWORN TO AND SUBSCRIBED before me by ____________________________.

on the ______ day of __________________, 20__.

________________________________________________________

Notary Public, State of Texas

________________________________________________________

Commission Expiration

1. This Affidavit must be filed with the Lower Rio Grande Valley Development Council and be maintained as part of the permanent procurement record.

2. Upon filing the Affidavit, the officer, employee or agent must abstain from participating in the procurement process pursuant to the ethics policy set forth in Article VII. 
HUB Subcontracting Plan (HSP)
QUICK CHECKLIST

While this HSP Quick Checklist is being provided to merely assist you in readily identifying the sections of the HSP form that you will need to complete, it is very important that you adhere to the instructions in the HSP form and instructions provided by the contracting agency.

If you will be awarding all of the subcontracting work you have to offer under the contract to only Texas certified HUB vendors, complete:

- Section 1 - Respondent and Request Information
- Section 2 a. - Yes, I will be subcontracting portions of the contract.
- Section 2 b. - List all the portions of work you will subcontract, and indicate the percentage of the contract you expect to award to Texas certified HUB vendors.
- Section 2 c. - Yes
- Section 4 - Affirmation
- GFE Method A (Attachment A) - Complete an Attachment A for each of the subcontracting opportunities you listed in Section 2 b.

If you will be subcontracting any portion of the contract to Texas certified HUB vendors and Non-HUB vendors, and the aggregate percentage of all the subcontracting work you will be awarding to the Texas certified HUB vendors with which you do not have a "continuous contract" in place for more than five (5) years does not meet or exceed the HUB Goal, the contracting agency identified in the "Agency Special Instructions/Additional Requirements", complete:

- Section 1 - Respondent and Request Information
- Section 2 a. - Yes, I will be subcontracting portions of the contract.
- Section 2 b. - List all the portions of work you will subcontract, and indicate the percentage of the contract you expect to award to Texas certified HUB vendors and Non-HUB vendors.
- Section 2 c. - No
- Section 2 d. - Yes
- Section 4 - Affirmation
- GFE Method A (Attachment A) - Complete an Attachment A for each of the subcontracting opportunities you listed in Section 2 b.

If you will be subcontracting any portion of the contract to Texas certified HUB vendors and Non-HUB vendors or only to Non-HUB vendors, and the aggregate percentage of all the subcontracting work you will be awarding to the Texas certified HUB vendors with which you do not have a "continuous contract" in place for more than five (5) years does not meet or exceed the HUB Goal, the contracting agency identified in the "Agency Special Instructions/Additional Requirements", complete:

- Section 1 - Respondent and Request Information
- Section 2 a. - Yes, I will be subcontracting portions of the contract.
- Section 2 b. - List all the portions of work you will subcontract, and indicate the percentage of the contract you expect to award to Texas certified HUB vendors and Non-HUB vendors.
- Section 2 c. - No
- Section 2 d. - No
- Section 4 - Affirmation
- GFE Method B (Attachment B) - Complete an Attachment B for each of the subcontracting opportunities you listed in Section 2 b.

If you will not be subcontracting any portion of the contract and will be fulfilling the entire contract with your own resources (i.e., employees, supplies, materials and/or equipment), complete:

- Section 1 - Respondent and Request Information
- Section 2 a. - No, I will not be subcontracting any portion of the contract, and I will be fulfilling the entire contract with my own resources.
- Section 3 - Self Performing Justification
- Section 4 - Affirmation

"Continuous Contract:" Any existing written agreement (including any renewals that are exercised) between a prime contractor and a HUB vendor, where the HUB vendor provides the prime contractor with goods or service, to include under the same contract for a specified period of time. The frequency the HUB vendor is utilized or paid during the term of the contract is not relevant to whether the contract is considered continuous. Two or more contracts that run concurrently or overlap one another for different periods of time are considered by CPA to be individual contracts rather than renewals or extensions to the original contract. In such situations the prime contractor and HUB vendor are entering (have entered) into "new" contracts.
HUB Subcontracting Plan (HSP)

In accordance with Texas Gov't Code §2161.252, the contracting agency has determined that subcontracting opportunities are probable under this contract. Therefore, all respondents, including State of Texas certified Historically Underutilized Businesses (HUBs) must complete and submit this State of Texas HUB Subcontracting Plan (HSP) with their response to the bid requisition (solicitation).

NOTE: Responses that do not include a completed HSP shall be rejected pursuant to Texas Gov't Code §2161.252(b).

The HUB Program promotes equal business opportunities for economically disadvantaged persons to contract with the State of Texas in accordance with the goals specified in the 2009 State of Texas Disparity Study. The statewide HUB goals defined in 34 Texas Administrative Code (TAC) §20.284 are:

- 11.2 percent for heavy construction other than building contracts,
- 21.1 percent for all building construction, including general contractors and operative builders’ contracts,
- 32.9 percent for all special trade construction contracts,
- 23.7 percent for professional services contracts,
- 26.0 percent for all other services contracts, and
- 21.1 percent for commodities contracts.

--- Agency Special Instructions/Additional Requirements ---

In accordance with 34 TAC §20.285(d)(1)(B)(ii), a respondent (prime contractor) may demonstrate good faith effort to utilize Texas certified HUBs for its subcontracting opportunities if the total value of the respondent’s subcontracts with Texas certified HUBs meets or exceeds the statewide HUB goal or the agency specific HUB goal, whichever is higher. When a respondent uses this method to demonstrate good faith effort, the respondent must identify the HUBs with which it will subcontract. If using existing contracts with Texas certified HUBs to satisfy this requirement, only the aggregate percentage of the contracts expected to be subcontracted to HUBs with which the respondent does not have a continuance contract in place for more than five (5) years shall qualify for meeting the HUB goal. This limitation is designed to encourage vendor rotation as recommended by the 2009 Texas Disparity Study.

SECTION 1: RESPONDENT AND REQUISITION INFORMATION

a. Respondent (Company) Name: ___________________________ State of Texas VID #: ___________________________
   Point of Contact: ___________________________________ Phone #: ___________________________
   E-mail Address: _____________________________________ Fax #: ___________________________

b. Is your company a State of Texas certified HUB? □ - Yes □ - No

c. Requisition #: _____________________________________ Bid Open Date: ___________________________

[Requisition form fields]

[Signature]
SECTION 2: RESPONDENT'S SUBCONTRACTING INTENTIONS

After dividing the contract work into reasonable lots or portions to the extent consistent with prudent industry practices, and taking into consideration the scope of work to be performed under the proposed contract, including all potential subcontracting opportunities, the respondent must determine what portions of work, including contracted staffing, goods and services will be subcontracted. Note: In accordance with 34 TAC §22.282, a "Subcontractor" means a person who contracts with a prime contractor to work, to supply commodities, or to contribute toward completing work for a governmental entity.

a. Check the appropriate box (Yes or No) that identifies your subcontracting intentions:
   - Yes, I will be subcontracting portions of the contract. (If Yes complete item b of this SECTION and continue to item c of this SECTION.)
   - No. I will not be subcontracting any portion of the contract, and I will be fulfilling the entire contract with my own resources, including employees, goods and services. (If No continue to SECTION 3 and SECTION 4.)

b. List all the portions of work (subcontracting opportunities) you will subcontract. Also, based on the total value of the contract, identify the percentages of the contract you expect to award to Texas certified HUBs, and the percentage of the contract you expect to award to vendors that are not Texas certified HUBs (i.e., Non-HUB).

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<th>Item #</th>
<th>Subcontracting Opportunity Description</th>
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(Note: If you have more than fifteen subcontracting opportunities, a continuation sheet is available online at [https://www.comptroller.texas.gov/tendering/vendor/sub contracting.htm](https://www.comptroller.texas.gov/tendering/vendor/subcontracting.htm)).

c. Check the appropriate box (Yes or No) that indicates whether you will be using only Texas certified HUBs to perform all of the subcontracting opportunities you listed in SECTION 2, item b.
   - Yes, if you continue to SECTION 4 and complete an HUB Good Faith Effort - Method A (Attachment A) for each of the subcontracting opportunities you listed.
   - No, if you continue to item d, of this SECTION.

d. Check the appropriate box (Yes or No) that indicates whether the aggregate expected percentage of the contract you will subcontract with Texas certified HUBs, with which you do not have a continuous contract in place with for more than five (5) years, meets or exceeds the HUB goal the contracting agency identified on page 1 in the "Agency Special Instructions/Additional Requirements."?
   - Yes, if you continue to SECTION 4 and complete an HUB Good Faith Effort - Method A (Attachment A) for each of the subcontracting opportunities you listed.
   - No, if you continue to SECTION 4 and complete an HUB Good Faith Effort - Method B (Attachment B) for each of the subcontracting opportunities you listed.

*Continuous Contract: Any existing written agreement (including any renewals that are exercisable) between a prime contractor and a HUB vendor, where the HUB vendor provides the prime contractor with goods or service under the same contract for a specified period of time. The frequency the HUB vendor is utilized or paid during the term of the contract is not relevant to whether the contract is considered continuous. Two or more contracts that run concurrently or overlap one another for different periods of time are considered continuous. In such situations the prime contractor and HUB vendor are entering (have entered) into "new" contracts.
### SECTION 2: RESPONDENT'S SUBCONTRACTING INTENTIONS (CONTINUATION SHEET)

This page can be used as a continuation sheet to the HSP Form's page 2, Section 2, Item b. Continue listing the portions of work (subcontracting opportunities) you will subcontract. Also, based on the total value of the contract, identify the percentages of the contract you expect to award to Texas certified HUBs, and the percentage of the contract you expect to award to vendors that are not a Texas certified HUB (i.e., Non-HUB).

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**Aggregate percentages of the contract expected to be subcontracted:**

<table>
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<tr>
<th>Percentage of the contract expected to be subcontracted to HUBs</th>
<th><strong>Percentage of the contract expected to be subcontracted to Non-HUBs</strong></th>
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*“Continuous Contract”: Any existing written agreement (including any renewals that are exercised) between a prime contractor and a HUB vendor, where the HUB vendor provides the prime contractor with goods or service under the same contract for a specified period of time. The frequency the HUB vendor is utilized or paid during the term of the contract is not relevant to whether the contract is considered continuous. Two or more contracts that run concurrently or overlap one another for different periods of time are considered by CPA to be individual contracts rather than renewals or extensions to the original contract. In such situations the prime contractor and HUB vendor are entering (have entered) into “new” contracts.*
SECTION 3: SELF PERFORMING JUSTIFICATION (If you responded “No” to SECTION 2, Item a, you must complete this SECTION and continue to SECTION 4.) If you responded “No” to SECTION 2, Item a, in the space provided below explain how your company will perform the entire contract with its own employees, supplies, materials and/or equipment.

SECTION 4: AFFIRMATION

As evidenced by my signature below, I affirm that I am an authorized representative of the respondent listed in SECTION 1, and that the information and supporting documentation submitted with the HSP is true and correct. Respondent understands and agrees that, if awarded any portion of the solicitation:

- The respondent will provide notice as soon as practical to all the subcontractors (HUBs and Non-HUBs) of their selection as a subcontractor for the awarded contract. The notice must specify at a minimum the contracting agency’s name and its point of contact for the contract, the contract award number, the subcontracting opportunity they (the subcontractor) will perform, the approximate dollar value of the subcontracting opportunity and the expected percentage of the total contract that the subcontracting opportunity represents. A copy of the notice required by this section must also be provided to the contracting agency’s point of contact for the contract no later than ten (10) working days after the contract is awarded.

- The respondent must submit monthly compliance reports (Prime Contractor Progress Assessment Report – PAR) to the contracting agency, verifying its compliance with the HSP, including the use of and expenditures made to its subcontractors (HUBs and Non-HUBs). (The PAR is available at https://www.comptroller.state.tx.us/purchasing/docs/hub-forms/ProgressAssessmentReportForm.zip).

- The respondent must seek approval from the contracting agency prior to making any modifications to its HSP, including the hiring of additional or different subcontractors and the termination of a subcontractor the respondent identified in its HSP. If the HSP is modified without the contracting agency’s prior approval, respondent may be subject to any and all enforcement remedies available under the contract or otherwise available by law, up to and including debarment from all state contracting.

- The respondent must, upon request, allow the contracting agency to perform on-site reviews of the company’s headquarters and/or work-site where services are being performed and must provide documentation regarding staffing and other resources.

_________________________  ___________________________  ___________________________  ___________________________
Signature                       Printed Name                       Title                       Date (mm/dd/yyyy)

Reminder:

- If you responded ‘Yes’ to SECTION 2, Items c or d, you must complete an HSP Good Faith Effort - Method A (Attachment A) for each of the subcontracting opportunities you listed in SECTION 2, Item b.

- If you responded ‘No’ to SECTION 2, Items c and d, you must complete an HSP Good Faith Effort - Method B (Attachment B) for each of the subcontracting opportunities you listed in SECTION 2, Item b.
HSP Good Faith Effort - Method A (Attachment A)

Enter your company's name here: ___________________________  Requisition #: ________________

IMPORTANT: If you responded 'Yes' to SECTION 2, Items a or d of the completed HSP form, you must submit a completed 'HSP Good Faith Effort - Method A (Attachment A)' for EACH of the subcontracting opportunities you listed in SECTION 2, Item b, of the completed HSP form. You may photocopy this page or download the form at https://www.comptroller.texas.gov/purchasing/docs/hub-forms/hub-attach-plan-363-hcm-a.pdf

SECTION A-1: SUBCONTRACTING OPPORTUNITY

Enter the item number and description of the subcontracting opportunity you listed in SECTION 2, Item b, of the completed HSP form for which you are completing the attachment.

Item Number: _____  Description: ___________________________

SECTION A-2: SUBCONTRACTOR SELECTION

List the subcontractor(s) you selected to perform the subcontracting opportunity you listed above in SECTION A-1. Also identify whether they are a Texas certified HUB and their Texas Vendor Identification (VID) Number or federal Employer Identification Number (EIN), the approximate dollar value of the work to be subcontracted, and the expected percentage of work to be subcontracted. When searching for Texas certified HUBs and verifying their HUB status, ensure that you use the State of Texas Centralized Master Bidders List (CMBL)-Historically Underutilized Business (HUB) Directory Search located at http://txsearch.state.tx.us/pes/escmbldsearch/index.jsp. HUB status code HUB signifies that the company is a Texas certified HUB.

<table>
<thead>
<tr>
<th>Company Name</th>
<th>Texas certified HUB</th>
<th>Texas VID or federal EIN</th>
<th>Approximate Dollar Amount</th>
<th>Expected Percentage of Contract</th>
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REMINDER: As specified in SECTION 4 of the completed HSP form, if you (respondent) are awarded any portion of the requisition, you are required to provide notice as soon as practical to all the subcontractors (HUBs and Non-HUBs) of their selection as a subcontractor. The notice must specify at a minimum the contracting agency’s name and its point of contact for the contract, contract award number, the subcontracting opportunity they (the subcontractor) will perform, the approximate dollar value of the subcontracting opportunity and the expected percentage of the total contract that the subcontracting opportunity represents. A copy of the notice required by this section must also be provided to the contracting agency’s point of contact for the contract no later than ten (10) working days after the contract is awarded.

Page 1 of 1
(Attachment A)
HSP Good Faith Effort - Method B (Attachment B)

Enter your company's name here: __________________________ Requisition #: ________________

IMPORTANT: If you responded "No" to SECTION 2, Items c and d of the completed HSP form, you must submit a completed HSP Good Faith Effort - Method B (Attachment B) for each of the subcontracting opportunities you listed in SECTION 2, Item b, of the completed HSP form. You may photo-copy this page or download the form at https://www.comptroller.texas.gov/purchasing/docs/hub-forms/subcontract-plan-of-eff-eff-b.pdf.

SECTION B-1: SUBCONTRACTING OPPORTUNITY

Enter the item number and description of the subcontracting opportunity you listed in SECTION 2, Item b, of the completed HSP form for which you are completing the attachment.

Item Number: ______ Description: __________________________

SECTION B-2: MENTOR PROTÉGÉ PROGRAM

If respondent is participating as a Mentor in a State of Texas Mentor Protégé Program, submitting its Protégé (Protégé must be a State of Texas certified HUB) as a subcontractor to perform the subcontracting opportunity listed in SECTION B-1, constitutes a good faith effort to subcontract with a Texas certified HUB towards that specific portion of work.

Check the appropriate box (Yes or No) that indicates whether you will be subcontracting the portion of work you listed in SECTION B-1 to your Protégé.

☐ - Yes (If Yes, continue to SECTION B-4.)
☐ - No / Not Applicable (If No or Not Applicable, continue to SECTION B-3 and SECTION B-4.)

SECTION B-3: NOTIFICATION OF SUBCONTRACTING OPPORTUNITY

When completing this section you MUST comply with items a, b, c, and d, thereby demonstrating your Good Faith Effort of having notified Texas certified HUBs and trade organizations or development centers about the subcontracting opportunity you listed in SECTION B-1. Your notice should include the scope of work, information regarding the location to review plans and specifications, bonding and insurance requirements, required qualifications, and identify a contact person.

When sending notice of your subcontracting opportunity, you are encouraged to use the attached HUB Subcontracting Opportunity Notice form, which is also available online at https://www.comptroller.texas.gov/purchasing/docs/hub-forms/subcontract-notify-form.pdf.

Retain supporting documentation (i.e., certified letter, fax, e-mail) demonstrating evidence of your good faith effort to notify the Texas certified HUBs and trade organizations or development centers. Also, be mindful that a working day is considered a normal business day of a state agency, not including weekends, federal or state holidays, or days the agency is closed by its executive officer. The initial day the subcontracting opportunity notice is sent to the HUBs and to the trade organizations or development centers is considered to be 4th day away and does not count as one of the seven (7) working days.

a. Provide written notification of the subcontracting opportunity you listed in SECTION B-1, to three (3) or more Texas certified HUBs. Unless the contracting agency specified a different time period, you must allow the HUBs at least seven (7) working days to respond to the notice prior to you submitting your bid response to the contracting agency. When searching for Texas certified HUBs and verifying their HUB status, ensure that you use the State of Texas Centralized Master Bidders List (CMBL) - Historically Underutilized Business (HUB) Directory Search located at http://mytexas.state.tx.us/txassc/hub/search/index.jsp. HUB status code 4A signifies that the company is a Texas certified HUB.

b. List the three (3) Texas certified HUBs you notified regarding the subcontracting opportunity you listed in SECTION B-1. Include the company's Texas Vendor Identification (VID) Number, the date you sent notice to that company, and indicate whether it was responsive or non-responsive to your subcontracting opportunity notice.

<table>
<thead>
<tr>
<th>Company Name</th>
<th>Texas VID</th>
<th>Date Notice Sent</th>
<th>Did the HUB Respond?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td>- Yes - No</td>
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<td>- Yes - No</td>
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</tbody>
</table>

c. Provide written notification of the subcontracting opportunity you listed in SECTION B-1 to two (2) or more trade organizations or development centers in Texas to assist in identifying potential HUBs by disseminating the subcontracting opportunity to their members/participants. Unless the contracting agency specified a different time period, you must provide your subcontracting opportunity notice to trade organizations or development centers at least seven (7) working days prior to submitting your bid response to the contracting agency. A list of trade organizations and development centers that have expressed an interest in receiving notices of subcontracting opportunities is available on the Statewide HUB Program's webpage at https://www.comptroller.texas.gov/purchasing/vendorhub/resources.php.

d. List two (2) trade organizations or development centers you notified regarding the subcontracting opportunity you listed in SECTION B-1. Include the date when you sent notice to it and indicate if it accepted or rejected your notice.

<table>
<thead>
<tr>
<th>Trade Organizations or Development Centers</th>
<th>Date Notice Sent</th>
<th>Was the Notice Accepted?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td>- Yes - No</td>
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<td>- Yes - No</td>
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</tbody>
</table>

Page 1 of 2
(Attachment B)
**SECTION B-4: SUBCONTRACTOR SELECTION**

Enter the item number and description of the subcontracting opportunity you listed in SECTION 2, Item b, of the completed HSP form for which you are completing the attachment.

a. Enter the item number and description of the subcontracting opportunity for which you are completing this Attachment B continuation page.

<table>
<thead>
<tr>
<th>Item Number</th>
<th>Description</th>
</tr>
</thead>
</table>

b. List the subcontractor(s) you selected to perform the subcontracting opportunity you listed in SECTION B-1. Also identify whether they are a Texas certified HUB and their Texas Vendor Identification (VID) Number or federal Employer Identification Number (EIN), the approximate dollar value of the work to be subcontracted, and the expected percentage of work to be subcontracted. When searching for Texas certified HUBs and verifying their HUB status, ensure that you use the State of Texas’ Centralized Master Bidders List (CMBL) - Historically Underutilized Business (HUB) Directory Search located at [http://mydps.tea.state.tx.us/procurement/HUB/index.jsp](http://mydps.tea.state.tx.us/procurement/HUB/index.jsp). HUB status code ‘A’ signifies that the company is a Texas certified HUB.

<table>
<thead>
<tr>
<th>Company Name</th>
<th>Texas certified HUB</th>
<th>Texas VID or federal EIN</th>
<th>Approximate Dollar Amount</th>
<th>Expected Percentage of Contract</th>
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O. If any of the subcontractors you have selected to perform the subcontracting opportunity you listed in SECTION B-1 is not a Texas certified HUB, provide written justification for your selection process (attach additional page if necessary):

**REMEMBER:** As specified in SECTION 4 of the completed HSP form, if you (respondent) are awarded any portion of the regulation, you are required to provide notice as soon as practical to all the subcontractors (HUBs and Non-HUBs) of their selection as a subcontractor. The notice must specify at a minimum the contracting agency’s name and its point of contact for the contract, the contract award number, the subcontracting opportunity it (the subcontractor) will perform, the approximate dollar value of the subcontracting opportunity and the expected percentage of the total contract that the subcontracting opportunity represents. A copy of the notice required by this section must also be provided to the contracting agency’s point of contact for the contract no later than ten (10) working days after the contract is awarded.
HUB Subcontracting Opportunity Notification Form

In accordance with Texas Govt Code, Chapter 2161, each state agency that considers entering into a contract with an expected value of $100,000 or more shall, before the agency solicits bids, proposals, offers, or other applicable expressions of interest, determine whether subcontracting opportunities are probable under the contract. The state agency has determined that subcontracting opportunities are probable under the request to which my company will be responding.

34 Texas Administrative Code, §20.285 requires all respondents (prime contractors) bidding on the contract to provide notice of each of their subcontracting opportunities to at least three (3) Texas certified HUBs (who work within the respective industry applicable to the subcontracting opportunity), and allow the HUBs at least seven (7) working days to respond to the notice prior to the respondent submitting their bid response to the contracting agency. In addition, at least seven (7) working days prior to submitting their bid response to the contracting agency, the respondent must provide notice of each of its subcontracting opportunities to two (2) or more trade organizations or development centers (in Texas) that serve members of groups (i.e., Asian Pacific American, Black American, Hispanic American, Native American, Woman, Service Disabled Veteran) identified in Texas Administrative Code §20.282(19)(C).

We respectfully request that vendors interested in bidding on the subcontracting opportunity scope of work identified in Section C, Item 2, reply no later than the date and time identified in Section C, Item 1. Submit your response to the point-of-contact referenced in Section A.

SECTION A: PRIME CONTRACTOR'S INFORMATION

Company Name: ___________________________ State of Texas VID #: _______________________
Point-of-Contact: __________________________________________________________ Phone #: _______________________
E-mail Address: ___________________________________________________________ Fax #: _______________________

SECTION B: CONTRACTING STATE AGENCY AND REQUISITION INFORMATION

Agency Name: ___________________________ Phone #: _______________________
Point-of-Contact: ____________________________________________________________
Requisition #: __________________________ Bid Open Date: _______________________

SECTION C: SUBCONTRACTING OPPORTUNITY RESPONSE DUE DATE, DESCRIPTION, REQUIREMENTS AND RELATED INFORMATION

1. Potential Subcontractor's Bid Response Due Date:

If you would like for our company to consider your company's bid for the subcontracting opportunity identified below in Item 2, we must receive your bid response no later than [date] on [time] Central Time, Central Time, Central Date [mm/dd/yyyy].

In accordance with 34 TAC §20.285, each notice of subcontracting opportunity shall be provided to at least three (3) Texas certified HUBs, and allow the HUBs at least seven (7) working days to respond to the notice prior to submitting their bid response to the contracting agency. In addition, at least seven (7) working days prior to submitting their bid response to the contracting agency, we must provide notice of each of our subcontracting opportunities to two (2) or more trade organizations or development centers (in Texas) that serve members of groups (i.e., Asian Pacific American, Black American, Hispanic American, Native American, Woman, Service Disabled Veteran) identified in Texas Administrative Code, §20.282(19)(C).

(A working day is considered a normal business day of a state agency, not including weekends, federal or state holidays, or days the agency is declared closed by its executive officer. The initial day the subcontracting opportunity notice is sent is considered to be "day zero" and does not count as one of the seven (7) working days.)

2. Subcontracting Opportunity Scope of Work:

3. Required Qualifications:

☐ - Not Applicable

4. Bonding/Insurance Requirements:

☐ - Not Applicable

5. Location to review plans/specifications:

☐ - Not Applicable